In re Application of: Twardzik, et. al.

Application No.: 10/050,190 Filed: January 15, 2002

Page 3

PATENT ATTY. DOCKET NO.: STEM1110-5

## **REMARKS**

By the present amendment, Applicant respectfully requests incorporation of the attached Sequence Listing into the application.

## **CONCLUSION**

The Examiner is invited to contact Applicants' undersigned representative if there are any questions regarding the subject application. The Commissioner is authorized to debit (or credit) Deposit Account No. 50-1355 if any fee is required (or if there is any overpayment).

Respectfully submitted,

Date: September 17, 2004

Lisa A. Haile, J.D., Ph.D.

Reg. No. 38,347

Telephone: (858) 677-1456 Facsimile: (858) 677-1465

GRAY CARY WARE & FREIDENRICH LLP 4365 Executive Drive, Suite 1100 San Diego, CA 92121-2133

**USPTO Customer Number: 28213** 

**PATENT** 

ATTY. DOCKET NO.: STEM1110-5

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Twardzik, et. al.

09/641,587

Art Unit:

1647

Examiner: Unassigned

COPY

Parent Serial No.: Parent Filing Date:

August 18, 2000

Serial No.:

10/050,190

Filed:

January 15, 2002

Title: TGF- $\alpha$  POLYP

TGF-α POLYPEPTIDES, FUNCTIONAL FRAGMENTS AND

METHODS OF USE THEREFOR

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## REQUEST FOR TRANSFER OF COMPUTER READABLE FORM

Sir:

The above-identified patent application lacks a paper copy of the Sequence Listing for inclusion into the specification, as well as a computer readable form of the Sequence Listing. Applicants respectfully direct the attention of the Office to the following:

1. The paper copy of the Sequence Listing in this application, is identical to the computer readable copy of the Sequence Listing filed in application 09/641,587, filed August 18, 2000. In accordance with 37 CFR 1.821(e), please use the first filed computer readable form filed in that application as the computer readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the instant application. A paper copy of the Sequence Listing is included in a separately filed preliminary amendment for incorporation into the specification.

**CERTIFICATION UNDER 37 CFR §1.6(d)** 

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below

CARA GRIFONE
(Name of person transmitting paper)

September 17, 2004

rure) (Date,

In re Application of: Twardzik, et. al.

Application No.: 10/050,190 Filed: January 15, 2002

.

Page 2

PATENT ATTY. DOCKET NO.: STEM1110-5

2. I hereby state that the submission, filed in accordance with 37 CFR 1.821(g), herein does not include new matter. Applicants submit that the foregoing satisfies the requirements of Rule §1.821. If there are any questions regarding this response, the Office is invited to contact the undersigned.

No fee is deemed necessary in connection with the filing of this paper. However, if any fee is required, the Commissioner is hereby authorized to charge the amount of this fee, or credit any overpayments, to Deposit Account No. 50-1355.

Respectfully submitted,

Date: September 17, 2004

Lisa A. Haile, J.D., Ph.D. Registration No. 38,347 Telephone: (858) 677-1456

Facsimile: (858) 677-1465

USPTO Customer Number 28213 GRAY CARY WARE & FREIDENRICH LLP 4365 Executive Drive, Suite 1100 San Diego, California 92121-2133

```
SEQUENCE LISTING
```

<110> STEM CELL PHARMACEUTICALS, INC.

TWARDZIK, Daniel R.

PERNET, Andre

FELKER, Thomas S.

PASKELL, Stefan

<120> TGF-alpha POLYPEPTIDES, FUNCTIONAL FRAGMENTS AND METHODS OF USE THEREFOR

- <130> STEM1110-2
- <140> US 09/641,587 <141> 2000-08-17
- <150> US 09/559,248
- <151> 2000-04-26
- <150> US 09/459,813
- <151> 1999-12-13
- <150> US 09/492,935
- <151> 2000-01-27
- <150> US 09/378,567
- <151> 1999-08-19
- <160> 7
- <170> PatentIn version 3.1
- <210> 1

- <211> 50 <212> PRT <213> Homo sapiens
- <400> 1

Val Val Ser His Phe Asn Asp Cys Pro Asp Ser His Thr Gln Phe Cys

Phe His Gly Thr Cys Arg Phe Leu Val Gln Glu Asp Lys Pro Ala Cys

Val Cys His Ser Gly Tyr Val Gly Ala Arg Cys Glu His Ala Asp Leu

Leu Ala 50

- <210> 2
- <211> 50
- <21.2> PRT
- <213> Rattus norvegicus
- <400> 2

2

```
Val Val Ser His Phe Asn Lys Cys Pro Asp Ser His Thr Gln Tyr Cys
                                   10
Phe His Gly Thr Cys Arg Phe Leu Val Gln Glu Glu Lys Pro Ala Cys
Val Cys His Ser Gly Tyr Val Gly Val Arg Cys Glu His Ala Asp Leu
                           40
Asp Ala
   50
<210> 3
<211> 57
<212> PRT
<213> Artificial Sequence
<220>
<223> A modified human TGF-alpha sequence
<400> 3
Ser Leu Ser Leu Pro Ala Met Val Val Ser His Phe Asn Asp Cys Pro
                                                        15
                                    10
Asp Ser His Thr Gln Phe Cys Phe His Gly Thr Cys Arg Phe Leu Val
Gln Glu Asp Lys Pro Ala Cys Val Cys His Ser Gly Tyr Val Gly Ala
Arg Cys Glu His Ala Asp Leu Leu Ala
    50
<210> 4
<211> 11
<212> PRT
<213> Artificial Sequence
<220>
<223> Modified human TGF alpha sequence fragment
<220>
<221> MISC_FEATURE
<222> (1)..(10)
<223> Xaa at residue 1, 5, 7 to 9 is independently V, G or A; Xaa at
      residue 6 is Y or F; and Xaa at residue 10 is R or K
<400> 4
Xaa Cys His Ser Xaa Xaa Xaa Xaa Xaa Xaa Cys
                5
                                    10
```

3

```
<210> 5
<211> 7
<212> PRT
<213> Artificial Sequence
<220>
<223> Modified human TGF alpha sequence fragment
<220>
<221> MISC_FEATURE
<222>
      (1)..(7)
<223> Xaa at residue 1 and 4 is E or D; Xaa at residue 3 and 7 is V, G,
       or A; Xaa at residue 5 is L or I; and Xaa at residue 6 is D or E
<400> 5
Xaa His Xaa Xaa Xaa Xaa
<210> 6
<211> 18
<212> PRT
<213> Artificial Sequence
<220>
<223> Modified human TGF alpha sequence fragment
<220>
<221> MISC_FEATURE
<222>
      (1)..(18)
<223> Xaa at residue 1, 5, 7-9, 14, 18 is indep. V, G, or A; Xaa at
       residue 6 is Y or F; Xaa at residue 10 is R or K; Xaa at residue 12,
       15 is indep. E or D; Xaa at residue 16 is L or I; Xaa at residue
       17 is D or E
<400> 6
Xaa Cys His Ser Xaa Xaa Xaa Xaa Xaa Cys Xaa His Xaa Xaa Xaa
               5
                                   10
Xaa Xaa
<210> 7
<211> 7
<212> PRT
<213> Artificial Sequence
<220>
<223> Modified human TGF alpha sequence fragment
<220>
<221> MISC FEATURE
<222> (1)..(7)
<223> Xaa at residue 1 and 2 is indep. V, G, and A; Xaa at residue 7 is
```

4

<400> 7

Xaa Xaa Ser His Phe Asn Xaa 1 5